



# Cage-like $B_{40}C_{30}$ , $B_{40}C_{40}$ , and $B_{40}C_{50}$ : high-symmetry heterofullerenes isovalent with $C_{60}$ , $C_{70}$ , and $C_{80}$

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## Abstract

The recent discovery of the cage-like borospherenes  $B_{40}^{-/0}$ , composed of interwoven double chains of boron, presents the possibility of forming  $B_mC_n$  heterofullerenes as hybrids of borospherenes and carbon fullerenes in dual spaces. Based on extensive first-principles theory calculations, we predict herein the possible existence of the high-symmetry  $B_mC_n$  heterofullerenes  $S_{10} B_{40}C_{30}$  (**1**),  $C_5 B_{40}C_{40}$  (**2**), and  $S_{10} B_{40}C_{50}$  (**3**), which are isovalent with  $C_{60}$ ,  $C_{70}$ , and  $C_{80}$ , respectively. These beautiful borafullerenes with boron aggregations feature one  $B_{30}$  boron double-chain nanoring at the equator, two bowl-shaped  $C_{15}$  or  $C_{25}$  caps at the top and bottom, and ten quasi-planar tetracoordinate peripheral C atoms in ten B-centered  $B_6C$  hexagonal pyramids that are evenly distributed around the waist in a seamless “patched” structural motif. Detailed orbital and bonding analyses indicate that, as they are isovalent with  $C_{60}$ ,  $C_{70}$ , and  $C_{80}$ , respectively,  $B_{40}C_{30}$  (**1**),  $B_{40}C_{40}$  (**2**), and  $B_{40}C_{50}$  (**3**) possess 30, 35, and 40  $\pi$  bonds, respectively, of which 20 are 5c-2e  $\pi$  bonds delocalized over ten hexagonal pyramids that are evenly distributed around the waist. Such structural and bonding patterns confer high stability to these B-C heterofullerenes, which may be synthesized in experiments.

**Keywords** Heterofullerenes · Borafullerenes · First-principles theory calculations · Structures · Bonding analyses

## Introduction

$C_{60}$  and  $C_{70}$  fullerenes have been superstars in chemistry and materials science ever since their discovery in 1985 [1] and isolation in 1990 [2, 3]. The band gaps  $E_g$  of  $C_{60}$  and  $C_{70}$  powders were experimentally measured to be 1.86 and 1.57 eV, respectively [4]. Given the similarity between boron and carbon in both atomic size and chemical bonding, it is perhaps not surprising that boron-doped fullerenes with one or more of the carbon atoms of the fullerene substituted by boron were first observed in the gas phase in 1991 [5] (i.e., soon after the first isolation of pure carbon fullerenes), and

were first extracted from doped carbon soot in 1996 [6]. Theoretical investigations of the geometric and electronic structures of B-C binary clusters such as  $C_{59}B$ ,  $C_{69}B$ ,  $C_{60-n}B_n$  ( $n = 1-12$ ), and  $C_{12}B_{68}$  have also been reported in the literature [7–10]. Mohr and coworkers recently performed an extensive global search for cage-like  $B_{12}C_{48}$  and  $B_{12}C_{50}$  clusters using the minimum hopping method (MH) and found that “patched” motifs with boron aggregations around two neighboring B-centered  $B_5C_2$  hexagonal pyramids were much more stable than the previously reported “diluted” structures [11]. The experimental formation of heterofullerenes  $B_{59}C^-$  and  $B_{69}C^-$  in 2013 by directly exposing  $C_{60}$  and  $C_{70}$  to boron vapor paves the way to the production of borafullerenes in macroscopic quantities through atom exchange [12]. All-boron fullerenes were not considered until the proposal of the cage-like  $B_{80}$  in 2007, which was constructed by capping the 20 hexagons in  $C_{60}$  [13], though subsequent density functional theory (DFT) investigations showed that core-shell structures are much lower in energy [14, 15]. The first all-boron fullerenes,  $B_{40}^{-/0}$ , dubbed borospherenes, were discovered in the gas phase in a joint experimental and theoretical investigation in 2014 [16]. The electronic structures and electronic spectra of neutral  $B_{40}$  were computationally simulated

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shortly after [17]. An axially chiral borospherene,  $B_{39}^-$ , was observed late in 2015 [18]. Our group have extended the borospherene family explored at the first-principles theory level in the past 3 years to include the  $B_n^q$  series ( $q = n - 40$ ,  $n = 36-42$ ), all of which are composed of 12 interwoven double chains of boron with six hexagonal or heptagonal faces on the cage surface and follow the universal  $\sigma + \pi$  double delocalization bonding pattern [19–22]. The seashell-like  $B_{28}^{-/0}$  and  $B_{29}^-$  borospherenes have also been observed as minor isomers in the gas phase [23, 24]. The establishment of the borospherene family presents the possibility of forming cage-like  $B_mC_n$  heterofullerenes with high boron contents ( $m \approx n$ ) as hybrids of borospherenes and carbon fullerenes in dual spaces. Boron double chains (BDCs) may thus be patched into carbon fullerenes in seamless motifs. However, to the best of our knowledge, no such cage-like  $B_mC_n$  borafullerenes with high boron contents ( $m \approx n$ ) that have structural characteristics of both borospherenes and carbon fullerenes have been reported from either experimental or theoretical studies.

Based on extensive first-principles theory calculations, we explore herein the possibility of generating the cage-like B-C binary fullerenes with high boron contents  $S_{10} B_{40}C_{30}$  (**1**),  $C_5 B_{40}C_{40}$  (**2**), and  $S_{10} B_{40}C_{50}$  (**3**), which are isovalent with  $C_{60}$ ,  $C_{70}$ , and  $C_{80}$ , respectively. These borafullerenes with boron aggregations feature a  $B_{30}$  BDC nanoring at the equator, two bowl-shaped  $C_{15}$  or  $C_{25}$  caps at the top and bottom, and ten quasi-planar tetracoordinate pyramids around the waist. They all possess 20  $5c-2e$   $\pi$ -bonds delocalized over ten hexagonal pyramids evenly distributed along the  $B_{30}$  double-chain nanoring. The IR, Raman, and UV-vis spectra of  $B_{40}C_{30}$  (**1**) were computationally simulated to facilitate its spectroscopic characterization.

## Methods

Initial high-symmetry structures of  $B_{40}C_{30}$ ,  $B_{40}C_{40}$ , and  $B_{40}C_{50}$  were manually constructed utilizing chemical intuition from their isovalent fullerene counterparts  $I_h C_{60}$ ,  $D_{5h} C_{70}$ , and  $D_{5d} C_{80}$ , respectively. Extensive global searches were

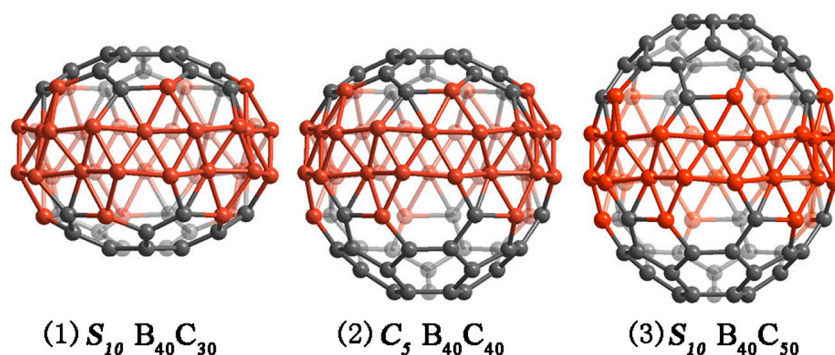
performed on  $B_{40}C_{30}$  using both the constrained basin-hopping algorithm implemented in the TGMIn program [25, 26] and the minima hopping (MH) method [27, 28], which yields the global minimum configuration as well as many other low-energy metastable structures at the PBE level and probes over 7500 stationary points on the potential energy surface. The low-lying isomers thus obtained were then fully re-optimized at the hybridized DFT-PBE0 level with the 6-31G\* and 6-311 + G\* basis sets [29, 30]. The hybridized PBE0 functional provides reliable results for boron-based nanoclusters with a wide range of sizes, according to comparisons with experimental data or the results afforded by more accurate ab initio calculations [16–24]. No global searches were executed on the more complicated  $B_{40}C_{40}$  and  $B_{40}C_{50}$  systems due to the limitations of the available computing resources. Frequency checks were performed at the PBE0/6-31G\* level to ensure that all of the low-lying structures obtained were true minima of the systems of interest. Detailed bonding analyses were performed using the adaptive natural density partitioning (AdNDP) [31–33] method at the PBE0/6-31G level, and the results were visualized using the Molekel 5.4.0.8 program [34]. All calculations performed in this work were implemented using the Gaussian 09 package [35].

## Results and discussion

### Structures and stabilities

We first consider  $B_{40}C_{30}$ , the first and most important B-C binary cluster of interest in this work. This cluster is isovalent with the best-known fullerene,  $C_{60}$  [1, 2], with 240 valence electrons in peripheral C atoms in ten B-centered  $B_6C$  hexagonals.  $S_{10} B_{40}C_{30}$  (**1**), the high-symmetry isomer that exhibits a “patched” motif in which 40 boron atoms are aggregated into ten B-centered  $B_6C$  hexagonal pyramids evenly distributed around the waist, was found to be the most stable structure (Fig. 1 and Fig. S1 in the “Electronic supplementary material,” ESM). However, given its extremely complicated potential energy surface, we cannot guarantee that the true

**Fig. 1** Optimized structures of  $S_{10} B_{40}C_{30}$  (**1**),  $C_5 B_{40}C_{40}$  (**2**), and  $S_{10} B_{40}C_{50}$  (**3**), as calculated at the PBE0/6-311 + G\* level



global minimum of the binary system was located.  $B_{40}C_{30}$  (**1**) possesses obvious similarity with the global minimum of  $B_{48}C_{12}$ , which contains two neighboring B-centered  $B_5C_2$  hexagonal pyramids obtained via MH global searches [11]. It features one  $B_{30}$  BDC nanoring at the equator, two eclipsed bowl-shaped  $C_{15}$  caps at the top and bottom, and ten quasi-planar tetracoordinate peripheral C atoms in ten B-centered  $B_6C$  hexagonal pyramids that are evenly distributed around the waist in a seamless patched motif with an overall symmetry of  $S_{10}$ . This appears to be 0.23 eV more stable than the second lowest-lying isomer,  $C_1 B_{40}C_{30}$ , which also contains a  $B_{30}$  boron double-chain nanoring at the equator and two bowl-shaped  $C_{15}$  caps at the top and bottom in  $C_1$  symmetry (Fig. S1 in the ESM). The third isomer,  $C_1 B_{40}C_{30}$ , has one  $C_{20}$  cap at the top, one  $C_{10}$  cap at the bottom, and one distorted  $B_{40}$  belt between the two carbon caps, and is 0.42 eV less stable than  $S_{10} B_{40}C_{30}$  (**1**). Other isomers were found to be much less stable than  $B_{40}C_{30}$  (**1**), as their energies were at least 0.94 eV higher. Core-shell structures were found to be highly unstable, with relative energies of greater than +8.0 eV (Fig. S1 in the ESM). There is not much to choose between cage-like  $B_{40}C_{30}$  isomers with boron aggregations [10] in terms of stability to satisfy the bonding requirements of both carbon and boron: it is known from experiments that carbon favors peripheral positions in B-C binary clusters such as  $CB_8^-$ ,  $C_2B_8^-$ , and  $CB_9^-$ , while boron may serve as penta- or hexacoordinate centers to compensate for the electron deficiency in boron-rich areas [36, 37].  $S_{10} B_{40}C_{30}$  (**1**), with one  $B_{30}$  BDC nanoring around the waist and two equivalent  $C_{15}$  caps at the top and bottom (which may be better formulated as  $S_{10} C_{15}B_{40}C_{15}$ ), best matches the bonding requirements of the boron and carbon atoms in the binary system. Specific  $\sigma$ - and  $\pi$ -bonding patterns will be discussed later.

Following the structural pattern of  $S_{10} B_{40}C_{30}$  (**1**), and noting the known structures of  $D_{5h} C_{70}$  and  $D_{5d} C_{80}$ , we manually constructed the high-symmetry  $C_5 B_{40}C_{40}$  (**2**) and  $S_{10} B_{40}C_{50}$  (**3**) with 40 B atoms aggregated in ten B-centered  $B_6C$  hexagonal pyramids that are evenly distributed around the waist (Fig. 1). Both  $B_{40}C_{40}$  (**2**) and  $B_{40}C_{50}$  (**3**) contain a  $B_{30}$  BDC nanoring at the equator, with the former containing one bowl-shaped  $C_{15}$  cap at the top and one  $C_{25}$  cap at the bottom (which can be formulated as  $C_5 C_{15}B_{40}C_{25}$ ), whereas the latter possesses two eclipsed  $C_{25}$  caps at the top and bottom of the elongated cage (i.e.,  $S_{10} C_{25}B_{40}C_{25}$ ). Such cage-like motifs with a BDC nanoring patched seamlessly into the fullerene framework provide the optimum geometry to satisfy the bonding requirements of  $B_mC_n$  binary clusters with high boron contents.

## Electronic structures and bonding analyses

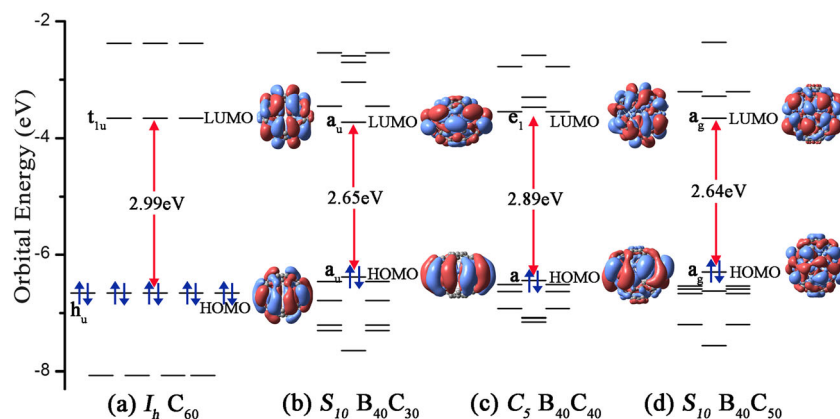
The high stabilities of the  $B_{40}C_n$  series ( $n = 30, 40, 50$ ) originate from their unique electronic structures and bonding

patterns. Figure 2 presents the molecular orbital energy level diagrams of  $S_{10} B_{40}C_{30}$  (**1**),  $C_5 B_{40}C_{40}$  (**2**), and  $S_{10} B_{40}C_{50}$  (**3**), as calculated at the PBE0/6-311 + G\* level, with their HOMO and LUMO distributions and HOMO–LUMO energy gaps indicated. These heterofullerenes possess large HOMO–LUMO energy gaps of  $\Delta E_{\text{gap}} = 2.65, 2.89,$  and  $2.64$  eV when calculated at the PBE0/6-311 + G\* level, respectively, which are comparable with the corresponding values of 2.99, 2.93, 3.13, and 2.89 eV computed for  $I_h C_{60}$ ,  $D_{5h} C_{70}$ ,  $D_{2d} B_{40}$  [16], and  $C_3 B_{39}^-$  [18] at the same theoretical level, thus supporting the notion that these  $B_{40}C_n$  binary clusters ( $n = 30, 40,$  and  $50$ ) with closed-shell electronic configurations have high chemical stabilities. Interestingly, the HOMO–LUMO gap of  $B_{40}C_{50}$  (**3**) appears to be even wider than that (1.15 eV) of  $D_{5d} C_{80}$  at the PBE0 level. As shown in Fig. 2, the HOMOs of these heterofullerenes are all bonding orbitals, whereas their LUMOs are antibonding, in line with the large HOMO–LUMO energy gaps for these binary clusters. We also notice that the five degenerate HOMO ( $h_u$ ) orbitals of  $I_h C_{60}$  are broken into one nondegenerate orbital ( $a_u$ ) and two sets of doubly degenerate orbitals in  $B_{40}C_{30}$  (**1**). The same is true of  $B_{40}C_{40}$  (**2**) and  $B_{40}C_{50}$  (**3**) (Fig. 2). It is worth mentioning that the previously predicted fullerene-like clusters  $C_{36}B_{24}$ ,  $C_{24}B_{36}$ , and  $C_{36}N_{24}$ , which are not isoivalent with  $C_{60}$ , present much narrower HOMO–LUMO gaps than  $C_{60}$  [38].

AdNDP analyses revealed both the localized and delocalized chemical bonds in the systems. As shown in Fig. 3a, there are 90 2c-2e C–C  $\sigma$  bonds with occupation numbers  $|\text{ON}|$  of 1.97–1.99  $|e|$  and 30 2c-2e C–C  $\pi$  bonds with  $|\text{ON}| = 1.64 |e|$  in  $I_h C_{60}$ , fully satisfying the  $sp^2$  bonding requirements of the 60 C atoms. A similar bonding pattern is present in  $S_{10} B_{40}C_{30}$  (**1**), which possesses 40 2c-2e  $\sigma$  bonds at the top and bottom with  $|\text{ON}| = 1.93$ – $1.98 |e|$ , 40 3c-2e  $\sigma$  bonds in 40 triangles around the waist with  $|\text{ON}| = 1.95 |e|$ , and ten 4c-2e  $\sigma$  bonds in ten  $B_4$  rhombuses between the ten neighboring  $B_6C$  hexagonal pyramids with  $|\text{ON}| = 1.91 |e|$ . The remaining 30  $\pi$  bonds can be classified into two sets: ten 2c-2e C–C  $\pi$  bonds in the two  $C_{15}$  caps with  $|\text{ON}| = 1.67 |e|$  and 20 5c-2e  $\pi$  bonds evenly distributed over the ten B-centered  $B_6C$  hexagonal pyramids along the  $B_{30}$  boron double-chain nanoring with  $|\text{ON}| = 1.67$ – $1.74 |e|$ . These  $\sigma$ - and  $\pi$ -bonding patterns show a one-to-one correspondence with those of  $C_{60}$  (Fig. 3a), and confer high stability to  $B_{40}C_{30}$  (**1**).

Similar  $\sigma$ - and  $\pi$ -bonding patterns were obtained for both  $C_5 B_{40}C_{40}$  (**2**) and  $S_{10} B_{40}C_{50}$  (**3**). As shown in Fig. 3b,  $C_5 B_{40}C_{40}$  (**2**) possesses a  $\sigma$  skeleton with 55 2c-2e  $\sigma$  bonds, 40 3c-2e  $\sigma$  bonds, and ten 4c-2e  $\sigma$  bonds on the cage surface. Its  $\pi$  system includes 15 2c-2e  $\pi$  bonds in the  $C_{15}$  cap at the top and the  $C_{25}$  cap at the bottom, and 20 5c-2e  $\pi$  bonds in the ten B-centered hexagonal pyramids around the waist. Both the  $\sigma$ - and  $\pi$ -bonding patterns of  $C_5 B_{40}C_{40}$  (**2**) appear to be similar to those of  $D_{5h} C_{70}$  (Fig. 3b and Fig. S2 in the ESM).  $S_{10} B_{40}C_{50}$  (**3**), the largest heterofullerene studied in this work,

**Fig. 2a–d** Molecular orbital energy diagrams near the HOMOs and LUMOs of **a**  $I_h C_{60}$ , **b**  $S_{10} B_{40}C_{30}$  (**1**), **c**  $C_5 B_{40}C_{40}$  (**2**), and **d**  $S_{10} B_{40}C_{50}$  (**3**), as calculated at the PBE0/6–311 + G\* level, with HOMO occupation indicated by arrows

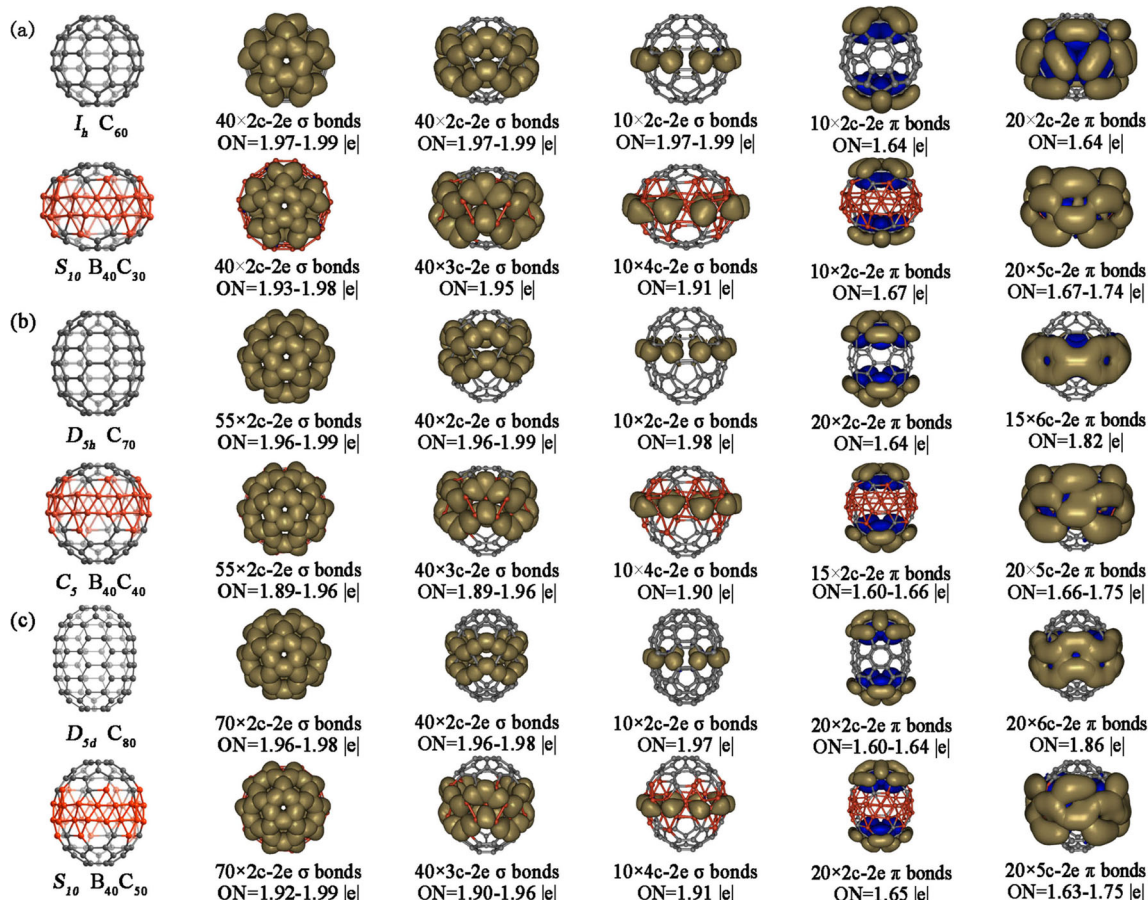


contains 70 2c–2e  $\sigma$  bonds, 40 3c–2e  $\sigma$  bonds, and ten 4c–2e  $\sigma$  bonds on the cage surface, 20 2c–2e  $\pi$  bonds in the two  $C_{25}$  caps, and 20 5c–2e  $\pi$  bonds in the ten  $B_6C$  hexagonal pyramids around the waist—again, similar to the fullerene  $D_{5d} C_{80}$  (Fig. 3c and Fig. S2 in the ESM). It is noticeable that  $B_{40}C_{30}$  (**1**),  $B_{40}C_{40}$  (**2**), and  $B_{40}C_{50}$  (**3**) all possess 20 5c–2e  $\pi$ -bonds in ten B-centered  $B_6C$  hexagonal pyramids that are evenly distributed around the waist. Such bonding patterns grant 3D spherical aromaticity to the cage-like  $B_{40}C_n$  binary fullerenes ( $n = 30, 40, \text{ and } 50$ ), as evidenced by the negative values (–15,

–24, and –20 ppm) of the calculated nucleus-independent chemical shifts (NICSSs) [39] at the cage centers of  $B_{40}C_{30}$  (**1**),  $B_{40}C_{40}$  (**2**), and  $B_{40}C_{50}$  (**3**), respectively.

### Simulated IR, Raman, and UV-vis spectra

The infrared (IR), Raman, and UV-vis spectra of  $B_{40}C_{30}$  (**1**) were computationally simulated to facilitate its spectral characterization (Fig. 4). Similar spectroscopic simulations have previously been reported for  $B_{40}$  [17]. Infrared photodissociation



**Fig. 3** AdNDP bonding patterns of  $B_{40}C_{30}$  (**1**),  $B_{40}C_{40}$  (**2**), and  $B_{40}C_{50}$  (**3**) in comparison with their carbon fullerene counterparts  $C_{60}$ ,  $C_{70}$ , and  $C_{80}$

has proven to be a powerful means to characterize novel clusters in gas phases [40, 41]. As shown in Fig. 4a and b,  $B_{40}C_{30}$  (**1**) possesses six major IR-active peaks at 690, 780, 865, 1043, 1057, and 1272  $\text{cm}^{-1}$  and six major Raman-active modes at 193, 317, 972, 1281, 1543, and 1606  $\text{cm}^{-1}$ . The Raman vibration at 317  $\text{cm}^{-1}$  originates from the typical radial breathing mode (RBM) of the cage-like  $B_{40}C_{30}$  (**1**), which can be used to characterize its hollow cage-like structure [42]. The strong UV absorption peaks at 342, 375, and 390 nm mainly originate from electron transitions from the deep inner shells to the high-lying unoccupied molecular orbitals of  $B_{40}C_{30}$  (**1**), while the

weak absorption bands that occur above 450 nm mainly originate from electron transitions involving the HOMO and its neighboring occupied orbitals.

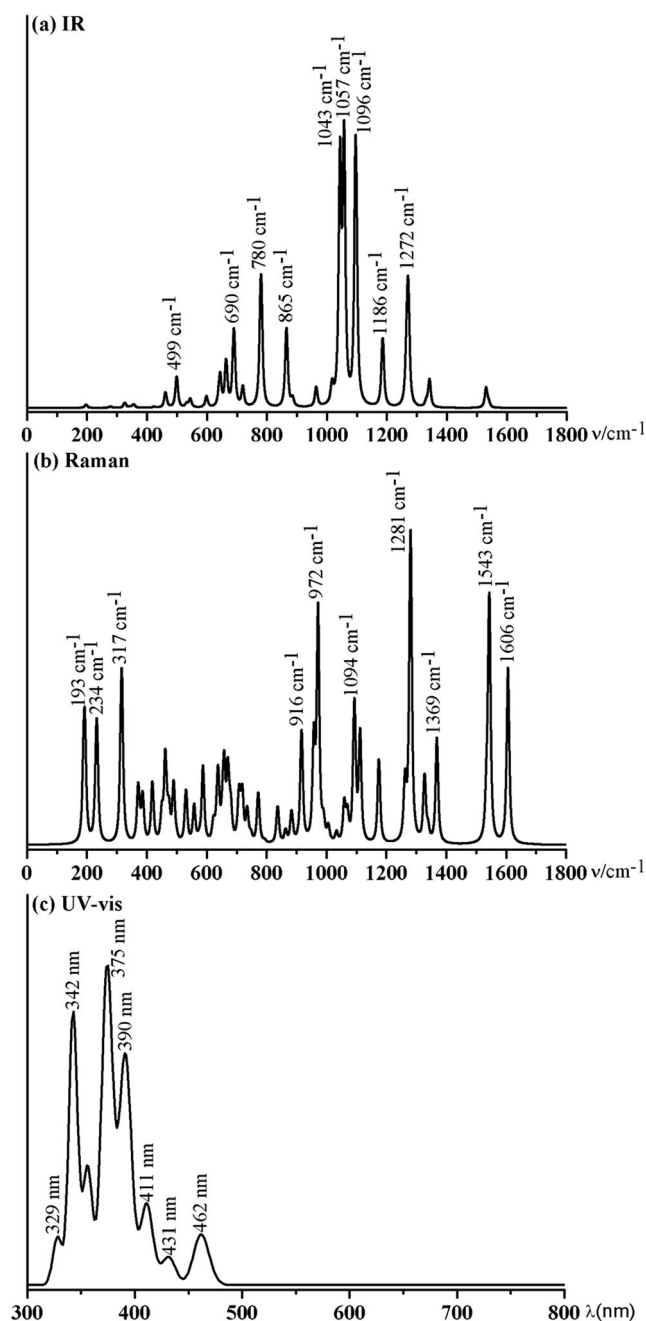
## Conclusions

Based on extensive first-principles theory calculations, we were able to predict the possible existence of the high-symmetry heterofullerenes  $S_{10} B_{40}C_{30}$  (**1**),  $C_5 B_{40}C_{40}$  (**2**), and  $S_{10} B_{40}C_{50}$  (**3**), each of which feature one  $B_{30}$  BDC nanoring at the equator, two  $C_{15}$  or  $C_{25}$  carbon caps at the top and bottom, and ten quasi-planar tetracoordinate C atoms in ten B-centered  $B_6C$  hexagonal pyramids around the waist in a seamless patched structural motif, resulting in novel borafullerenes with high boron contents that are isovalent with the typical fullerenes  $C_{60}$ ,  $C_{70}$ , and  $C_{80}$ . Detailed orbital and bonding analyses indicate that all three heterofullerenes contain 20 delocalized  $5c-2e$   $\pi$ -bonds in ten hexagonal pyramids that are evenly distributed around the waist. Such a bonding pattern confers high stability to the heterofullerenes which, with HOMO–LUMO energy gaps of  $> 2.60$  eV, may be synthesized by the laser ablation of mixed C–B targets, arc discharge from mixed C–B electrodes, or the direct exposure of fullerenes to boron vapor via atom exchange [11]. A wide range of  $B_mC_n$  heterofullerenes that may conform to the isovalent principle should also be explored in order to bridge the gap between pure borospherenes and carbon fullerenes.

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**Fig. 4** Simulated IR, Raman, and UV-vis spectra of  $B_{40}C_{30}$  (**1**) as calculated at the PBE0/6-31G\* level

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