On the nature of chemical bonding in the all-metal aromatic [Sb$_3$Au$_3$Sb$_3$]$^{3-}$ sandwich complex

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In a recent communication, an all-metal aromatic sandwich [Sb$_3$Au$_3$Sb$_3$]$^{3-}$ was synthesized and characterized. We report herein a density-functional theory (DFT) study on the chemical bonding of this unique cluster, which makes use of a number of computational tools, including the canonical molecular orbital (CMO), adaptive natural density partitioning (AdNDP), Wiberg bond index, and orbital composition analyses. The 24-electron, triangular prismatic sandwich is intrinsically electron-deficient, being held together via six Sb–Sb, three Au–Au, and six Sb–Au links. A standard, qualitative bonding analysis suggests that all CMOs are primarily located on the three Sb$_3$/Au$_3$/Sb$_3$ layers, three Au 6s based CMOs are fully occupied, and the three extra charges are equally shared by the two cyclo-Sb$_3$ ligands. This bonding picture is referred to as the zeroth order model, in which the cluster can be formally formulated as [Sb$_{1.5}$Au$_{3.5}$Sb$_{1.5}$]$^{3-}$ or [Sb$_9$Au$_3$Sb$_9$]$^{3-}$. However, the system is far more complex and covalent than the above picture. Seventeen CMOs out of 33 in total involve remarkable Sb → Au electron donation and Sb ← Au back-donation, which are characteristic of covalent bonding and effectively redistribute electrons from the Sb$_3$ and Au$_3$ layers to the interlayer edges. This effect collectively leads to three Sb–Au–Sb three-center two-electron (3c–2e) σ bonds as revealed in the AdNDP analyses, despite the fact that not a single such bond can be identified from the CMOs. Orbital composition analyses for the 17 CMOs allow a quantitative understanding of how electron donation and back-donation redistribute the charges within the system from the formal Sb$_3$/Au$_3$/Sb$_3$ charge states in the zeroth order model to the effective Sb$_{3.5-}/$Au$_{0.5+}$ charge states, the latter being revealed from the natural bond orbital analysis.

1. Introduction

A sandwich complex, by definition, normally refers to a chemical compound with a metal center bound to two arene (C$_n$H$_n$) ligands via haptic covalent bonds. Following the celebrated discovery of ferrocene, [C$_5$H$_5$]Fe, in the early 1950s,$^{1,2}$ this field has been dominated by “metallocenes”, a special class of sandwich complexes. The metal center in sandwich complexes was extended to actinide in 1968, that is, in uranocene U(COT)$_2$;³ whereas the metal center were pursued lately, such as [Al$_4$TiAl$_4$]$_2$ and [Sb$_5$TiSb$_5$]$_2$,¹² which make use of aromatic Al$_3$ and Sb$_5$ clusters as inorganic ligands.$^{11-18}$ These all-metal sandwiches should be considered model systems, because the two metallic ligands are susceptible to coalescence. It is therefore not surprising that none of the prior all-metal sandwiches$^{11-18}$ has been confirmed experimentally, either as synthetic bulk compounds or in the gas phase.

In a recent communication, Pan et al.$^{19}$ reported for the first time a synthetic all-metal aromatic sandwich complex, [Sb$_3$Au$_3$Sb$_3$]$^{3-}$, which is crystallized in the form of [K[2.2.2-crypto][Sb$_3$Au$_3$Sb$_3$] (0.5PPh$_3$)en (en = ethylenediamine). In this new sandwich, an Au$_3$ core is jammed between two aromatic, metallic cyclo-Sb$_3$ rings. Compared to ferrocene or metallocenes, the metal center

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in the [Sb3Au3Sb3]3– sandwich is extended from a single metal atom to an Au monolayer (albeit the smallest monolayer possible), and the arene ligands are switched to aromatic, metallic Sb3 rings. These features make the [Sb3Au3Sb3]3– sandwich highly unusual, which warrants a detailed computational study in order to fully understand its stability, as well as its nature of chemical bonding. The present work is intended to fulfill this purpose.

We show here that a qualitative bonding analysis of the canonical molecular orbitals (CMOs) for the [Sb3Au3Sb3]3– all-metal sandwich reveals three features: (i) all CMOs are mainly located on the three Sb3/Au3/Sb3 layers, and not a single CMO can be attributed primarily to the interlayer Sb–Au–Sb bonding; (ii) three Au 6s derived CMOs are fully occupied (with 6 electrons), hinting a major electron redistribution from the Sb3/Au3 ligands to the central Au3 layer; (iii) the three extra charges are equally shared by the two cyclo-Sb3 ligands. The above bonding picture is referred to as the zeroth order bonding model, be a bit misleading because it does not tell specifically where the Sb3/Au3/Sb3 ligands give the formal charge states of Sb3+ and Au3– in the sandwich. To obtain an in-depth understanding of the all-metal sandwich, one needs to go beyond the above model. The sandwich is shown to be held together via Sb → Au donation and Sb ← Au back-donation, involving 17 CMOs out of 33 in total in the system (12 valence CMOs and 21 “lone-pairs”). Certainly, this kind of bonding phenomenon can also exist in other sandwich complexes, such as [Pd4(C8H8)(4-C9H9)]+.20 The sophisticated processes of donation and back-donation are characteristic of covalent bonding. The effect of these 17 CMOs is quantified via orbital composition analyses, which collectively redistribute as many as 8 electrons back and forth between the Sb3/Au3/Sb3 layers, leading to approximate Sb3.5– and Au0 final charge states as well as minimal Au–Au bonding. The redistributed electrons are primarily accumulated along the interlayer edges, which are described vividly as three Sb–Au–Sb three-center two-electron (3c–2e) σ bonds according to the adaptive natural density partitioning (AdNDP) analysis,21 despite the fact that not a single such bond can be identified from the CMOs.

2. Computational methods
The structural and electronic properties of the [Sb3Au3Sb3]3– cluster have been studied using the density-functional theory (DFT).22 The DFT calculations were carried out at the PBE0,23,24 B3LYP levels, respectively, with the LANL2DZ,25,26 def2-TZVP,27 and aug-cc-pVTZ-pp28 basis sets. The calculated structural data indicate that the PBE0 method is superior to B3LYP for the current system. Note also that the size of the basis sets increases along this series. Thus, the PBE0/aug-cc-pVTZ-pp level of theory is considered to be the most reliable in the present work, and we will primarily discuss the computational data at this level.

To elucidate chemical bonding in the system, the CMO and AdNDP analyses were performed. Natural bond orbital (NBO)29 analysis was also carried out to obtain the natural atomic charges and Wiberg bond indices. The AdNDP analyses were performed using the AdNDP program21 and all other calculations and analyses were carried out using the Gaussian 09 software package.30

3. Results and discussion
3.1. Cluster structure of [Sb3Au3Sb3]3–
We fully optimized the D3h [Sb3Au3Sb3]3– sandwich structure using the PBE0 and B3LYP functionals, respectively, in combination with the LANL2DZ, def2-TZVP, and aug-cc-pVTZ-pp basis sets. The calculated bond distances and bond angles at all six levels of theory are summarized in Table 1, which are compared with the experimental data.19 A quick survey of the structural data clearly indicates that the PBE0 method performs better than B3LYP. For example, the calculated bond angles $\angle_{\text{SbAuSb}}$ at PBE0 using the three basis sets are within −0.8°, +0.2°, and −0.7°, respectively, of the experimental mean value (~178°); whereas those at B3LYP deviate by ~3.4°, ~5.0°, and ~4.0°, respectively, from the experiment. Also, B3LYP appears to

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<th>Bond angle (°)</th>
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$^a$ The PBE0/aug-cc-pVTZ-pp results are considered to be the most reliable of all computational data presented. $^b$ Experimental crystal data of [Sb3Au3Sb3]3– from ref. 19.
produce significantly larger Au–Au/Sb–Au/Sb–Sb bond distances with respect to PBE0 as well as the experimental data, in particular for the Au–Au distance. In other words, the B3LYP geometry is less reliable in terms of bond distances. Overall, the larger aug-cc-pVTZ-pp basis set turns out to perform better than the small LANL2DZ basis set, at both the PBE0 and B3LYP levels. Based on the discussion, we should conclude that the PBE0/aug-cc-pVTZ-pp level of theory works pretty well for the [Sb₃Au₃Sb₃]⁺⁻ system (and the PBE0/def2-TZVP method appears to perform just as good), which faithfully reproduces the experimental cluster structure.

The ultimate optimized structure of [Sb₃Au₃Sb₃]⁺⁻ at the PBE0/aug-cc-pVTZ-pp level is illustrated in Fig. 1. The calculated bond angles in the Au₃ ring, as well as in cyclo-Sb₃ ligands, are 60°, consistent with the D₃h symmetry of the all-metal sandwich. The ∠SbAuSb bond angle is calculated to be 177.18° (experimental data: 177.87–178.15°), indicating that the Sb–Au–Sb edges in the sandwich are virtually linear. The calculated Sb–Sb, Sb–Au, and Au–Au distances are 2.96, 2.67, and 3.07 Å, respectively, which are close to and slightly larger than the experimental data (Table 1). It should be stressed that the current calculations of the gas-phase cluster do not take into account the electrostatic stabilization effect of the counterions as presented in the synthetic [Sb₃Au₃Sb₃]⁺⁻ complex. Such calculations are anticipated to produce Au–Au/Sb–Au/Sb–Sb distances that are slightly greater than the experimental measurements due to the intrinsic intramolecular coulomb repulsion between three extra charges in the gas-phase cluster.

According to the latest recommended covalent radii by Pyykkö, the upper limits for typical distances of Sb–Sb, Sb–Au, and Au–Au single bonds are 2.80, 2.64, and 2.48 Å, respectively. Thus, the Sb–Sb and Sb–Au bonds in [Sb₃Au₃Sb₃]⁺⁻ are comparable to single bonds. In particular, the Sb–Au distances suggest that bonding interaction between the Sb₃/Au₃/Sb₃ layers in the sandwich is remarkably strong. In contrast, the Au–Au bonding is only minimal in the system. Indeed, the calculated and experimental Au–Au distances (~3 Å) are significantly larger than those of a single bond. These are actually close to the magic Au–Au distance for aurophilicity, which describes the unique closed-shell interaction between two Au(1) d¹⁰ centers in Au compounds. Aurophilicity is dispersive in nature like van der Waals interactions, but it is enhanced to 7–12 kcal mol⁻¹ and becomes comparable in strength to hydrogen bonds due to relativistic effects of Au. Despite the fact that the Au centers in [Sb₃Au₃Sb₃]⁺⁻ are not in the Au(1) state (see below), the Au–Au interaction in the sandwich appears to be dominated by aurophilicity.

Among the alternative structures of interest for the [Sb₃Au₃Sb₃]⁺⁻ sandwich, as requested by one reviewer, is a more closely packed, staggered conformation, which differs from that in Fig. 1 by an in-plane twist of the interlayer Au₃ by 60°. This staggered structure also has D₃h symmetry. Our preliminary calculations at the PBE0/aug-cc-pVTZ-pp level indicate, however, that the new structure is not a true minimum and it is estimated to be at least 10 kcal mol⁻¹ higher in energy, consistent with the fact that this conformation is not present in the synthetic compounds.

3.2. Chemical bonding: all-metal sandwich [Sb₃Au₃Sb₃]⁺⁻ as a 24-electron system

The CMOs are fundamental in understanding the nature of bonding in a molecular system. Considering the atomic electron configurations of 5s²5p³ for Sb and 5d¹⁰6s¹ for Au, the [Sb₃Au₃Sb₃]⁺⁻ cluster has 66 valence electrons (including the three extra electrons). All 33 occupied CMOs of these electrons are illustrated in Fig. 2 and 3.

We can start the bonding analysis with a triangular, model Sb₃⁺⁻ cluster, whose CMOs are shown in Fig. 4. It has three subsets of CMOs: three Sb 5s derived CMOs (HOMO–5 and HOMO–4/HOMO–4’, where HOMO stands for the highest occupied molecular orbital), as well as six Sb 5p derived ones (HOMO–3 and HOMO–1/HOMO–1’ as one subset, and HOMO–2 and HOMO/HOMO’ as another subset). In a D₃h structure, each atomic orbital (AO) can combine into three CMOs, that is, one completely bonding CMO and two degenerate, partially bonding/antibonding CMOs. When all three CMOs are fully occupied, they can in turn be transformed to three lone-pairs or three 2c–2e bonds. In the case of the Sb₃⁺⁻ cluster, the first three CMOs (Fig. 4(a)) are readily transformed to three 2c–2e Sb–Sb pₒ bonds, the next three (Fig. 4(b)) to three 2c–2e Sb–Sb pₓ bonds, and the last three (Fig. 4(c)) to three Sb 5s lone-pairs.

In the [Sb₃Au₃Sb₃]⁺⁻ sandwich, Sb 5s AOs in each cyclo-Sb₃ ligand combine to form three orbitals. Each specific orbital can further combine constructively and destructively between the two ligands, generating a pair of CMOs in the sandwich. All six Sb 5s¹ based CMOs of [Sb₃Au₃Sb₃]⁺⁻ are shown in Fig. 2(a), which are practically 6c–2e in nature. These CMOs consist of three constructive/destructive pairs as mentioned above: HOMO–21/HOMO–20, HOMO–19/HOMO–18’, and HOMO–19/HOMO–18. At the zero-order approximation, one pair can
be recombined to two orbitals, each on one Sb. In other words, the six CMOs of the sandwich (Fig. 2(a)) are equivalent to two sets of CMOs in Fig. 4(c), one set for each cyclo-Sb3 ligand. Consequently, the six CMOs may be collectively transformed to six Sb 5s lone-pairs, one for every Sb center. Similarly, the CMOs shown in Fig. 2(b) are approximated to 15 Au 5d lone-pairs, 5 for each Au center ($d_{xy}$, $d_{yz}$, $d_{xz}$, $d_{x^2-y^2}$, and $d_{z^2}$). Therefore, the 21 CMOs shown in Fig. 2 correspond to the Sb 5s and Au 5d 10 lone-pairs, which consume 42 electrons out of 66 in total in the system (that is, ~63%). It is stressed that “lone-pairs” are only an oversimplified view.

With Sb 5s 2 and Au 5d 10 lone-pairs excluded, the $[\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}$ sandwich is bound via 24 electrons: three 5p electrons from each Sb, one 6s electron from each Au, and three extra electrons. The 24-electron system of $[\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}$ is electron-deficient, which has six Sb–Sb, three Au–Au, and six Sb–Au links. The 12 corresponding CMOs are depicted in Fig. 3, which underlie the stability of the all-metal sandwich. The six Sb 5p based σ CMOs are shown in Fig. 3(a). Here the three in the first row are a constructive combination between two Sb3 ligands, whereas those in the second row are their corresponding destructive combination. These readily recombine to form two sets of pσ orbitals (Fig. 4(b)) for the cyclo-Sb3 ligands and further to form six 2c–2e Sb–Sb pσ bonds. In short, the six CMOs in Fig. 3(a) correspond to six Sb–Sb pσ bonds in the cyclo-Sb3 ligands.

As for the Au 6s based CMOs, three can be identified, HOMO–15/HOMO–2/HOMO–2’ (Fig. 3(b)), being fully occupied with six electrons. This observation suggests that the two cyclo-Sb3 ligands need to contribute three electrons to the Au 6s based bonding, at least formally. The remaining three CMOs (Fig. 3(c)) are responsible for pσ bonding between two Sb3 ligands, which are the only delocalized bonds in the system. Note that the HOMO in $[\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}$ is doubly degenerate and based on two Sb3 ligands, where the three extra electrons are supposed to locate. Thus, in a zeroth order model the sandwich should be formulated as $[\text{Sb}_{1.5}\text{Au}_3\text{Sb}_{1.5}]^{1-}$, in which two Sb3 ligands provide three electrons to Au3 for its 6s based CMOs (Fig. 3(b)) and the three extra electrons in turn exactly compensate the Sb3 ligands for their electron losses (Fig. 3(c)). In this picture, the Au and Sb centers in $[\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}$ appear to be in the formal charge states of Au$^{3-}$ and Sb$^0$. It may be stated that the zeroth order model has a major ionic component due to the redistribution of three electrons from the Sb3/Sb3 ligands to the Au3 6s based CMOs: see below for further quantitative data of the electron redistribution.

In terms of the electronic properties of the $[\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}$ sandwich, the original work19 reported a large energy gap of 3.08 eV between the HOMO and the lowest unoccupied molecular orbital (LUMO) of $[\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}$ at the PBE0/def2-TZVP level. We can basically repeat the HOMO–LUMO gap, 3.04 eV to be exact. However, with the larger basis set of aug-cc-pVTZ-pp, the calculated energy gap is much smaller, 1.15 eV at B3LYP and 1.41 eV at PBE0, the latter value being considered to be the most reliable (see Section 2).38,39 Thus the all-metal sandwich cluster may exhibit sort of semiconducting property.

### 3.3. Aromaticity and nucleus-independent chemical shift (NICS)

Of all 33 CMOs in Fig. 2 and 3, only the HOMO/HOMO’ and HOMO–4 (Fig. 3(c)) are truly delocalized. The three CMOs are primarily six-centered in nature, representing the destructive combination between two cyclo-Sb3 ligands, which cannot be further transformed to three-center bonds, or 2c–2e bonds, or lone-pairs. However, their origin can be straightforwardly
Aromaticity. The only difference between the present, equi-electron (6c–6e) subsystem and a 3c–3e subsystem is that the completely bonding orbital in the former is also half occupied, whereas it is fully occupied in the latter. Note that full- or half-occupation of the delocalized, completely bonding orbital does not alter the nature of aromaticity in a system. Thus the [Sb3Au3Sb3]3− all-metal sandwich complex is π aromatic.

The NICS is widely accepted as a computational measure of aromaticity. We have calculated the NICS(1)zz at 1 Å above the center of the cyclo-Sb3 ligands in [Sb3Au3Sb3]3−, which amounts to −34.99 ppm at the PBE0/aug-cc-pVTZ-pp level, confirming the remarkably strong π aromaticity for the all-metal sandwich complex. For comparison, the prototypical π aromatic benzene molecule has a NICS(1)zz value of −30.30 ppm at the PBE0/aug-cc-pVTZ level.

3.4. The nature of edge Sb–Au–Sb interactions in [Sb3Au3Sb3]3−: electron donation and back-donation, orbital compositions, and natural atomic charges

The above bonding analyses suggest that [Sb3Au3Sb3]3− is essentially a triple-layered sandwich complex. The 24-electron system (Fig. 3) formally consists of 12 electrons for six 2c–2e Sb–Sb σ bonds, 6 electrons for Au 6s based CMOs, and delocalized 6π electrons. The latter are situated in the two cyclo-Sb3 ligands, rendering π aromaticity for the all-metal sandwich. The CMO analyses also indicate that the sandwich should be formulated as [Sb3.5+Au3−Sb3.5+]3− in the zeroth order model, which possesses formal Sb0 and Au+ centers. However the NBO analyses (Table 2) at all six levels of theory consistently offer a different picture: the interlayer Sb–Au bonding appears to be strong with a Wiberg bond order of 0.71 at the PBE0/aug-cc-pVTZ-pp level, and the Au–Au bond order is only 0.23. These bond orders are in line with their bond distances (see Section 3.1). Furthermore, the natural atomic charges from NBO analysis are −0.493 |e| for Sb and −0.014 |e| for Au, which are also in sharp contrast to those in the zeroth order model.

Therefore, a couple of crucial issues remain to be addressed in the all-metal sandwich: how can three Au 6s based CMOs offer only minimal Au–Au bonding? How to reconcile the formal Sb0 and Au+ charge states in the zeroth order model and those of Sb0.5− and Au1+ from the NBO analysis? What is the nature of interlayer Sb–Au–Sb bonding? The key to these questions lies in the quantitative composition analyses of the CMOs.

A closer examination of the CMOs in Fig. 2 and 3 reveals that, while every CMO can be clearly assigned to either the Au3 layer or the Sb3/Sb3 ligands, the majority of CMOs possess also a secondary component. Such secondary components serve to redistribute electrons, via sophisticated Sb → Au donation and Sb ← Au back-donation, in between the Sb3/Au3/Sb3 layers and from the layers to the interlayer Sb–Au–Sb edges. It is such secondary components that play the vital role in binding three Sb3/Au3/Sb3 layers for a sandwich complex. Table 3 summarizes the results of orbital composition analyses for selected CMOs, whose secondary component is close to 10% or larger. The electron redistribution in the system can be classified into four categories. As a starting point, the metal centers in the sandwich are in the Sb0 and Au+ charge states.
HOMO/C0 B3LYP aug-cc-pVTZ-pp 0.93 0.68 0.18

HOMO-1/C0 B3LYP def2-TZVP 0.94 0.69 0.22

HOMO-2/C0 B3LYP def2-TZVP 0.94 0.69 0.22

HOMO-3/C0 B3LYP def2-TZVP 0.94 0.70 0.20

HOMO-4/C0 LANL2DZ 0.94 0.68 0.18

HOMO-5/C0 LANL2DZ 0.94 0.67 0.17

Table 2 Calculated Wiberg bond indices and natural atomic charges via natural bond orbital (NBO) analysis at the PBE0 and B3LYP levels, respectively, with basis sets of aug-cc-pVTZ-pp, def2-TZVP, and LANL2DZ

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*Charge per Au or Sb atom. a The PBE0/aug-cc-pVTZ-pp results are considered to be the most reliable of all computational data presented.

Fig. 4 Pictures of occupied CMOs of the cyclo-Sb32+ model cluster at the PBE0/aug-cc-pVTZ-pp level.

Category 1 shows that three extra electrons in [Sb3Au3Sb3]3− are faithfully distributed on the two Sb3 ligands (by ~2.9 |e|; Table 3). Category 2 describes the major electron transfer from Sb to Au, formally, as the occupation of HOMO−2 and HOMO−2′ (Fig. 3(b)). The above two processes lead to the formation of [Sb31.5+Au33−Sb31.5+3−]− for the sandwich in the zeroth order model, as well as the formal Sb5− and Au3+ charge states. However, category 2 actually only involves a charge transfer of ~1.8 |e| (compared to 3.0 |e| formally), because HOMO−2 and HOMO−2′ contain merely ~60% Au s/p/d.

Categories 3 and 4 show the secondary Sb → Au donation and Sb ← Au back-donation processes, which involve 7 and 10 CMOs, respectively (Table 3). For the 7 CMOs associated with the Sb → Au donation, the Au s/p/d component ranges from ~10% to 26%, collectively resulting in the donation of ~2.3 |e| to Au. On the other hand, the 10 CMOs for Sb ← Au back-donation have a Sb s/p component from 10% up to 41%, which manage to back-donate a total of ~3.7 |e| to Sb. Categories 3 and 4 demonstrate the powerfulness of electron donation and back-donation via multiple CMOs (17 in total). While individually each CMO only redistributes as little as 0.2 |e|, their collective effect is to move up to 6 |e| back and forth between the Sb3/Au3/Sb3 layers. To be specific, Au3 accepts 1.85 |e| via its Au 6s based HOMO−2/HOMO−2′ from the Sb3 ligands (category 2) and ~2.3 |e| via 7 other CMOs (category 3), whereas it back-donates ~3.7 |e| to the Sb3 ligands via 10 CMOs (category 4), ultimately making the Au3 layer almost neutral.

Electron donation and back-donation are known for covalent bonding interactions, which help bond the three metallic layers together as a sandwich. It is stressed that 11 out of the 17 CMOs associated with categories 3 and 4 are in fact portion of Sb 5s2 and Au 5d10 lone-pairs (Fig. 2), which contribute to the redistribution of ~4.1 |e| back and forth (that is, almost 70% of the total), suggesting that many of the Sb 5s2 and Au 5d10 "lone-pairs" are not pure.

The net effect of the four categories of processes is to reach the final charge states of Sb0.42− and Au0.16+ (Table 3) in the sandwich, which are very different from those in the zeroth order picture (Sb5− and Au3+) but remarkably close to the NBO data (Sb5−.493− and Au5+0.014; Table 2). It may be argued that the donation and back-donation in categories 2 through 4 are mainly accumulated at the interlayer Sb–Au–Sb edges, hinting at an Sb–Au bond order of larger than 0.6 (about ~7.8 |e| for six Sb–Au links), which is close to the NBO bond order (0.71; Table 2).

The nature of the approximate Au9 final charge state from orbital composition analyses (Table 3), as well as from NBO analysis (Table 2), also helps explain why the Au–Au bonding is minimal in the all-metal sandwich. As an estimate, the six electrons in HOMO−2/HOMO−2′/HOMO−15 (Fig. 3(b)) contain 2.35 |e| of the Sb component (Table 3), which contributes to portion of the Sb–Au interactions. Assume that the Au 6s component contributes a similar amount to the Sb–Au interaction, then these three CMOs only have ~1.3 |e| for Au–Au interaction within the Au3 layer (that is, a bond order of ~0.2). While this estimation is too simplified, it is in good agreement with the calculated Wiberg bond order of 0.23 at the PBE0/aug-cc-pVTZ-pp level (Table 2).

3.5. On the three effective Sb–Au–Sb three-center two-electron (3c–2e) σ bonds

The AdNDP analysis can provide a valuable, alternative view of the chemical bonding in a molecular system. As an extension of the NBO analysis, AdNDP represents the electronic structure of a molecule in terms of n-center two-electron (nc–2e) bonds,
Table 3 Charge redistribution in the all-metal sandwich \([\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}\) cluster via the composition analysis of canonical molecular orbitals (CMOs) at the PBE0/aug-cc-pVTZ-pp level. Only the CMOs with an electron redistribution of around 10% or greater are included. Some 19 CMOs participate in these processes.

| Channel | CMO | Au (%) | Sb (%) | Electron transfer \(\Delta|\epsilon|\) |
|---------|-----|--------|--------|---------------------------------|
| Extra charges | HOMO/HOMO\(^{\prime}\) | — | — | — | 97.0 | —0.09 | —2.91\(^{b}\) |
| Major Sb \(\rightarrow\) Au | HOMO–2/HOMO–2\(^{\prime}\) | 31.2 | 9.6 | 20.6 | 2.0 | 36.2 | —1.85\(^{c}\) | +1.85 |
| Sb \(\rightarrow\) Au | HOMO–20 | — | 12.8 | — | — | — | —0.26 | +0.26 |
| | HOMO–19 | 8.1 | 1.5 | 16.1 | — | 66.2 | 8.0 | —0.51 | +0.51 |
| | HOMO–19\(^{\prime}\) | 8.1 | 1.3 | 16.1 | — | 66.2 | 7.9 | —0.52 | +0.52 |
| | HOMO–21 | 9.4 | 2.3 | 5.1 | — | 71.3 | 11.3 | —0.34 | +0.34 |
| | HOMO–1 \(\rightarrow\) | — | — | 9.5 | 1.3 | 86.3 | —0.19 | +0.19 |
| | HOMO–1\(^{\prime}\) | — | — | 9.6 | 1.3 | 87.8 | —0.19 | +0.19 |
| | HOMO–4 | — | — | 14.0 | 4.8 | 79.8 | —0.28 | +0.28 |
| Sb \(\leftarrow\) Au | HOMO–2/HOMO–2\(^{\prime}\) | 31.2 | 9.6 | 20.6 | 2.0 | 36.2 | +0.38 | —0.38\(^{d}\) |
| | HOMO–10\(^{\prime}\) | 20.2 | 1.8 | 66.5 | — | 4.1 | 11.0 | +0.23 | —0.23 |
| | HOMO–16 | — | — | 84.2 | — | 1.0 | 9.4 | +0.21 | —0.21 |
| | HOMO–14 | — | — | 89.3 | 1.0 | 9.3 | —0.21 | +0.21 |
| | HOMO–14\(^{\prime}\) | — | — | 89.4 | 1.0 | 9.3 | +0.21 | —0.21 |
| | HOMO–17 | — | — | 86.9 | — | 10.2 | +0.21 | —0.21 |
| | HOMO–12 \(\leftarrow\) | 1.7 | — | 64.0 | 12.2 | 21.5 | +0.68 | —0.68 |
| | HOMO–12\(^{\prime}\) | 1.7 | — | 64.0 | 12.2 | 21.5 | +0.68 | —0.68 |
| | HOMO–15 | 20.8 | 4.4 | 32.8 | 6.1 | 35.4 | +0.83 | —0.83 |
| Total | Average\(^{e}\) (charge per atom) | — | — | — | — | — | —0.49 | —2.51 |

\(^a\) Electron gain for \(\text{Sb}_3/\text{Au}_3/\text{Sb}_3\) is denoted as \(\Delta|\epsilon|^{\prime}\), whereas electron loss is denoted as \(\Delta|\epsilon|^\prime\). \(^{b}\) Only three out of four electrons in HOMO/HOMO\(^{\prime}\) are due to the extra charges. \(^{c}\) Only three out of four electrons (75%) in HOMO–2/HOMO–2\(^{\prime}\) are associated with the major Sb \(\rightarrow\) Au electron transfer. \(^{d}\) One out of four electrons (25%) in HOMO–2/HOMO–2\(^{\prime}\) is originally Au 6s based, which involves partial Sb \(\leftarrow\) Au electron transfer. \(^{e}\) A standard bonding analysis of a molecular system assigns all CMOs and qualitatively considers the electron redistribution channels of categories 1 and 2, that is, the extra charges and the major Sb \(\rightarrow\) Au redistribution of three electrons. In such a zeroth order picture, the all-metal sandwich can be formulated as \([\text{Sb}_3^{3+}\text{Au}_3^{3+}\text{Sb}_3^{3+}]^{3-}\) or \([\text{Sb}_3^{3+}\text{Au}_3^{3+}\text{Sb}_3^{3+}]\), where the layers are in formal charge states of \(\text{Sb}_3^{3+}\) and \(\text{Au}_3^{3+}\). To reach the final, effective charge states of approximately \(\text{Sb}_3^{1.5+}\) and \(\text{Au}_3^{3-}\), one has to go beyond the zeroth order picture and take into account the processes of categories 3 and 4.

Fig. 5 The AdNDP bonding pattern for \(D_{3h}\) \([\text{Sb}_3\text{Au}_3\text{Sb}_3]^{3-}\). Occupation numbers (ONs) are shown.
with the value of \( n \) ranging from one to the total number of atoms in the molecule. AdNDP thus recovers the classical Lewis bonding elements (lone-pairs and 2c–2e bonds), as well as nonclassical delocalized \( nc\)-2e bonds. The AdNDP bonding pattern of \([\text{Sb}_3\text{Au}_3\text{Sb}]^{1+}\) is presented in Fig. 5. Not surprisingly, it successfully recovers 15 Au \( d^{10} \) lone-pairs (Fig. 5(a)) and 6 Sb \( 5s^2 \) lone-pairs (Fig. 5(b)), whose 21 CMOs are shown in Fig. 2. Furthermore, it also recovers 6 Sb-Sb 2c–2e \( p_x \) bonds (Fig. 5(c)) as well as 3 delocalized Sb \( 5p \) based \( \pi \) bonds (Fig. 5(d)); these correspond to the CMOs shown in Fig. 3(a) and (c), respectively.

Interestingly, the AdNDP data reveal three Sb–Au–Sb 3c–2e \( \sigma \) bonds with a nearly perfect occupation number (ON) of 1.99 \(|\epsilon|\), as illustrated in Fig. 5(d). Note that, of all 33 CMOs for the sandwich complex (Fig. 2 and 3), one cannot identify even one CMO that is primarily responsible for the edge Sb–Au bonding. To reveal three Sb–Au–Sb 3c–2e \( \sigma \) bonds is thus a bit of a surprise. Yet this is exactly a virtualization of the complicated and quantitative data of electron donation and back-donation processes as presented in Tables 2 and 3. It is remarkable to be able to extract the essence relevant to the edge Sb–Au–Sb bonding from some 17 CMOs and synthesize them into three well-defined Sb–Au–Sb 3c–2e \( \sigma \) bonds. This represents an intriguing collective effect, which holds the key to the interlayer bonding in this all-metal sandwich system. One may suggest that, for example, HOMO – 17 and HOMO – 14/HOMO – 14’ (Fig. 2(b)) should help account for these edge bonds. However, these specific CMOs are actually part of the Au \( d^{10} \) lone-pairs. They contribute to the redistribution as little as ~0.6 \(|\epsilon|\) in total from Au to the Sb–Au–Sb edges (Table 3), that is, only ~10\% for the edge bonds. The three Sb–Au–Sb 3c–2e \( \sigma \) bonds also approximately rationalize the calculated Sb–Au Wiberg bond order (0.71 at the PBE0/aug-cc-pVTZ-pp level; Table 2).

4. Conclusions

We have presented a density-functional theory study on the structural and electronic properties and chemical bonding of the recently synthesized \([\text{Sb}_3\text{Au}_3\text{Sb}]^{1+}\) cluster, the first all-metal aromatic sandwich. This triangular prismatic sandwich is viewed as a 24-electron system, being intrinsically electron-deficient for its six Sb-Sb, three Au-Au, and six Sb-Au links. Canonical molecular orbital (CMO) analyses suggest that the cluster may be approximately formulated as \([\text{Sb}^{1.5+}\text{Au}^{3-}\text{Sb}^{1.5+}]^{1-}\) in a zeroth order model, in which the metal centers are in formal Sb\(^{5-}\) and Au\(^{3+}\) charge states. However, the actual bonding in the system is far more sophisticated. As many as 17 CMOs out of 33 in total contribute markedly to the edge bonds. The three Sb–Au–Sb 3c–2e \( \sigma \) bonds also approximately rationalize the calculated Sb–Au Wiberg bond order (0.71 at the PBE0/aug-cc-pVTZ-pp level; Table 2).

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References


38. Since the PBE0 and B3LYP methods are known to be highly complementary with each other, the fact that both methods generate the same trend for the energy gap against the size of the basis set suggests that the trend is mainly due to the basis set. A logic conclusion is that the PBE0/aug-cc-pVTZ-pp data should be considered to be most reliable (see Section 2). Nonetheless, it remains to be benchmarked how well the PBE0/aug-cc-pVTZ-pp level of theory works in terms of calculating the energy gap for semiconducting systems, which is beyond the scope of this work.

39. We have performed calculations of the HOMO–LUMO gap for the [Pd4(μ4-C9H9)(μ4-C8H8)]+ system as well, and the trend is the same. At the PBE0 level, the calculated energy gap amounts to 2.90, 3.01, and 0.68 eV with the LANL2DZ, def2-TZVP, aug-cc-pVTZ-pp basis sets, respectively. For comparison, the corresponding data are 2.49, 2.58, and 0.62 eV at the B3LYP level with the same basis sets.


41. Note that π aromaticity in the all-metal [Sb3Au3Sb3]3− sandwich complex is manifested in the text on the bases of a number of “standard” criteria: the CMO analysis, electron counting according to the reversed 4n Hückel rule, AdNDP analysis, NICS, and bond distance equalization (structural criterion). Among these, the CMO analysis is the most fundamental. AdNDP as an extension of the NBO analysis is useful, whereas NBO itself does not work for such a system because it cannot go beyond 3c–2e bonds.
